

✎ 8.12 WORK ON BROKERAGE AGREEMENT

8.12.1 Insert the prepositions where necessary

Arbitration Disclosures

You agree to settle ... arbitration any dispute between you and the brokerage and/or any ... our officers, directors, employees or agents relating ... your Brokerage Account(s). Any arbitration ... this agreement will be conducted ... the arbitration rules ... the National Association of Securities Dealers, Inc. or any registered national securities exchange ... which the brokerage is a member. Arbitration may be initiated ... either ... us serving written notice ... the other. The arbitrator's ruling will be final and judgment ... it may be entered ... any court ... competent jurisdiction. Should the brokerage prevail ... arbitration, you agree to pay all reasonable attorney, filing and other collection costs incurred ... the brokerage including interest ... the rate ... 10% per annum from the date the balance becomes due. No person shall bring a putative or certified class action ... arbitration, nor seek to enforce any predispute arbitration agreement ... any person who has initiated ... court a putative class who has not opted the class with respect ... any claims encompassed ... the putative class action until: (i) the class certification is denied; or (ii) the class is decertified; or (iii) the customer is excluded ... the class ... the court. Such forbearance to enforce an agreement ... arbitrate shall not constitute a waiver ... any rights ... this agreement except ... the extent stated herein. These class action provisions shall apply only ... new agreements signed ... an existing or new customer after October 28, 2007.

- Arbitration is final and binding ... the parties.
- The parties are waiving their right to seek remedies ... court, including the right ... jury trial.
- Pre-arbitration discovery is generally more limited than and different ... court proceedings.
- The arbitrator's award is not required to include factual findings or legal reasoning and any party's right to appeal or to seek modification ... ruling ... the arbitrators is strictly limited.

- The panel ... arbitrators will typically include a minority ... arbitrators who were or are affiliated ... the securities industry.

✍ 8.12.2 Translate the clauses of the agreement into English

Особливі Умови

Будь-яка інформація, що передається однією Стороною іншій у період дії цього договору і містить відомості про Цінні папери і умови цього договору, розголошення яких може завдати збитки будь-якій із Сторін, є конфіденційною і не підлягає розголошенню третім особам, за винятком випадків, передбачених чинним законодавством України.

Порядок Розгляду Суперечок

Сторони домовилися вживати усіх заходів щодо вирішення суперечок і розбіжностей між ними шляхом двосторонніх переговорів.

У випадку, якщо спори і розбіжності не можуть бути вирішені шляхом переговорів, вони вирішуються в судовому порядку відповідно до чинного законодавства України.