

✍ 9.12 WORK ON BROKERAGE AGREEMENT

9.12.1 Insert the prepositions where necessary

Mutual Funds

You acknowledge that the brokerage will maintain custody ... any mutual fund which is available to be held ... the brokerage. You understand that you will not have direct access ... your account(s) ... the fund issuer or its agent. This will require you to direct all requests ... information and instructions regarding your account(s) ... the brokerage. The brokerage will be responsible ... all ... the following: trade processing, administrative responsibilities; mailing including, but not limited ..., prospectus delivery, account statements and tax reporting (such as 1099's). Prospectuses will be provided ... any mutual fund offered ... the brokerage. The prospectus contains the objectives, risks and expenses ... the fund. You agree to read the prospectus carefully ... investing or sending money. You understand that the brokerage does not provide investment advice/recommendations or endorse any fund. The information and services provided ... the brokerage are not to be considered an offer to sell or a solicitation ... an offer to buy a particular fund. You agree to make your own investment decisions and will be solely responsible ... the appropriateness and suitability ... any fund you own. The brokerage believes that data provided ... the prospectus and outside third parties to be reliable, however, the brokerage does not guarantee their accuracy or completeness. The brokerage neither endorses nor provides any warranty ... any kind regarding the information provided. Past performance is no guarantee ... future results. You understand that principal values and share prices will fluctuate with market conditions and that ... any given time your investment may be worth more or less than your original investment. You understand that not all funds are registered ... all states; therefore, the brokerage cannot guarantee that all funds will be available to be held ... your account. ... addition, the brokerage will not offer any money market funds ... any ... the fund families we offer. You agree that you will be responsible ... knowing the terms and conditions, including sales charges or loads, ... exchanging ... funds and fund families. Four business

days ... purchase date is the minimum processing time needed ... redemption or exchange instructions can be given. The brokerage may change transaction fees ... addition ... any sale charged or loads described ... the Funds' prospectus. Mutual Fund positions transferred ... your the brokerage account must be ... good form ... they can be sold. Transfers require settlement ... the fund, which may take a minimum ... ten business days, ... the transfers arrive ... the brokerage. Settlement periods may vary ... Fund and are subject ... change without notice. The settlement process may have an impact if you are planning to reinvest the proceeds ... another security, so please check ... your local branch ... transfer process.

☞ 9.12.2 Translate the clause of the agreement into English

Термін Дії Договору

Цей договір набуває чинності з дня його підписання обома сторонами і закінчує свою дію "31" грудня 2010 року.

Договір вважається таким, що є продовженим на кожен наступний рік, якщо жодна із Сторін за 10 робочих днів до закінчення терміну дії договору не заявила письмово іншій Стороні про свій намір припинити дію договору.

Дія цього договору, окрім випадків спеціально обумовлених в його тексті, може бути припинена у будь-який час, будь-якою Стороною, при подачі письмової заяви не менше, ніж за 30 днів до припинення.

У разі розірвання цього Договору залишок грошових коштів Інвестора має бути повернений Інвесторові після отримання Брокером відповідної письмової заяви Інвестора з вказівкою реквізитів, за якими має бути проведено перерахування залишку грошових коштів.